Astrobiological evolution and the number of critical steps

Reasons for (cautious) optimism?

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The main thesis

WE ARE WITNESSING A PARADIGM SHIFT IN STUDYING HABITABILITY IN TERMS OF CORRELATIONS, WHICH HAS BEEN PROVOKED (IN PART) BY THE WORK OF CARTER!

It is what opposes that helps.

Heraclitus of Ephesus (cca. 540BC)



A major inspiration for astrobiology...

- 1) **1974**: "Large number coincidences and the anthropic principle in cosmology," in M. S. Longair (ed.) *Confrontation of cosmological theories with observational data* (D. Reidel Publishing), 291-298.
- 2) **1983**: "The anthropic principle and its implications for biological evolution," *Philos. Trans. R. Soc. London A* **310**, 347-363.
- **3) 1993**: "The Anthropic Selection Principle and the Ultra-Darwinian Synthesis," in *The Anthropic Principle*, ed. by F. Bertola & U. Curi (CUP), 33-66.
- 4) **2008**: "Five- or six-step scenario for evolution?" *Int. J. Astrobiol.* **7**, 177-182.
- 5) **2012**: "Hominid evolution: genetics versus memetics," *Int. J. Astrobiol.* **11**, 3-13.





While some forms of anthropic thinking existed for a long time...



SUR LA SUR LA PLURALITE DES MONDES



A PARIS, Chez la Veuve C. BLAGEART, Court - neuve du Palais, au Dauphin.

M. DC. LXXXVI. AVEC PRIVILEGE DU ROY.

...the modern, serious, workable formulation is **Carter** (1974)!

3 classic SETI-skeptical arguments

- Fermi's paradox (1950, re-discovered mid-1970s)
- The argument from biological contingency (Simpson 1964)
- Anthropic argument (Carter 1983)

Carter's argument in a nutshell

• **Independent** timescales for the evolution of intelligence:

×Astrophysical: **t***

× Biological: **t**_b

• **PLANETARY SCIENCE**: In the Solar System $t^* \approx t_b$.

PHILOSOPHY: In general, either t*>> t_b or t_b>> t*.

- The t* >> t_b case improbable unclear why the first datapoint is t* ≈ t_b.
- \Rightarrow probabilistic reasons for

t_b >> t* in general

(+ observation-selection explains $t^* \approx t_b$ in our own backyard)

intelligence must be very rare in this epoch!



"Are we the first?"



"A remarkable coincidence!" (Peebles 1993, p. 366)

Criticisms of CA

- "Common sense": sometimes, coincidence is just that.
- Wilson (1994): we don't know enough to exclude t* ~ t_b regime.
- Livio (1999): the ozone formation process induces correlations!
- Ćirković et al. (2009): the closed-box assumption fails!



The most curious case (of observers)



" It is not important who the suspect is. It is important who the suspect is. "

Hercule Poirot





The number of hard/critical steps?

- *n* critical steps to intelligence?
- Key insight:

$$\left\langle t_{n/n} \right\rangle = \frac{n}{n+1}t *$$

 Originally n = 1 or 2, today best fit would be 5 or 6.



1983 + 12 = 1995(!)

JOHN MAYNARD SMITH & EÖRS SZATHMÁRY THE MAJOR TRANSITIONS IN EVOLUTION



• Carter's discussion of hard steps significantly precedes their introduction in evolutionary biology!

The Galactic Habitable Zone (GHZ) Now oo Metal Rich **Too Little Time** 2 Time before present (Gy) Gonzalez, Brownlee 0 and Ward (2001) Sun Lineweaver (2001, 2004) 6 8 Too Metal Poor Too many SNe 10 -

15

20

Galaxy Formation

5

10

Galactocentric distance (kpc)

More precise results from numerical simulations



Vukotić et al. (2016)
Forgan et al. (2017)
The first application of the cosmological N-body simulations to GHZ.
Gadaet2 N-body



- *Gadget2* N-body SPH code.
- Mass resolution still poor...

Continual habitability



- Complex structure and evolution of GHZ.
- In general, more weight on higher ρ.

A controversy: are early-type galaxies in fact more habitable?



- Dayal et al. (2015): more terrestrial planets per unit mass in ellipticals.
- Even with metallicity corrections, the effect of catastrophic explosions still dominant...
- Quite open and live research question!

The Copernican mirror

- If the Earth/Milky Way/Local Group is typical in its reference class --
- ⇒ by better understanding the reference class, we understand our habitat better!

Many roads and pathways...

- **Problem 1**: We do not know all the laws relevant for the increase of complexity.
- **Problem 2**: The parameter space is VERY big!
- **Problem 3**: contingency vs. convergence?
- **Problem 4**: the role of information carrier (cf. **Carter 2012**)? ...

The game is afoot!

How many kingdoms know us not! Blaise Pascal (cca. 1650)

THANK YOU FOR YOUR ATTENTION!